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# Towards End-to-End Audio-Sheet-Music Retrieval

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## Abstract

This paper demonstrates the feasibility of learning to retrieve short snippets of sheet music (images) when given a short query excerpt of music (audio) – and vice versa –, without any symbolic representation of music or scores. This would be highly useful in many content-based musical retrieval scenarios. Our approach is based on Deep Canonical Correlation Analysis (DCCA) and learns correlated latent spaces allowing for cross-modality retrieval in both directions. Initial experiments with relatively simple monophonic music show promising results.

## 1 Introduction

Efficient systems for content-based music retrieval allow for browsing, exploring and managing large music collections. In this paper we tackle the problem of *audio to sheet music matching*, i.e. matching short snippets of music (audio) and corresponding parts in the sheet music (image). Amongst other applications, this is especially useful for large-scale music digitisation projects [4], which collect large numbers of sheet music and performances and need to link this data to each other. It was recently shown that convolutional neural networks are suitable for dealing with images of sheet music applied to the task of score following [3]. Inspired by [3] and [8], who showed that DCCA can efficiently be used to match image and text data, we propose an end-to-end neural network approach that allows for the retrieval of short snippets of sheet music (images) when given a short query excerpt of music (audio). Figure 1 shows some examples of audio-sheet correspondences targeted in the present work.

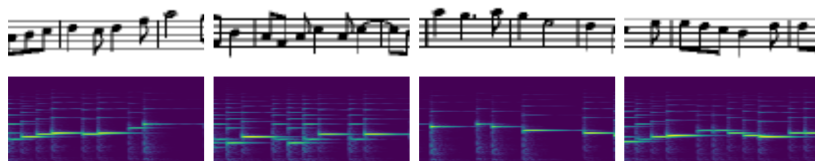


Figure 1: Example of the data considered for audio to sheet image retrieval. Top row: short snippets of sheet music images. Bottom row: Spectrogram excerpts of the corresponding audio (music).

## 2 Methods

We first introduce a common notation used throughout the paper and review the concepts of classic and Deep Canonical Correlation Analysis (DCCA) [1]. Based on DCCA we show how we use it in our system to retrieve the corresponding sheet image snippet for a given query audio fragment and vice versa.

Let  $\mathbf{x}_1, \dots, \mathbf{x}_N = \mathbf{X} \in \mathbb{R}^{N \times d_x}$  and  $\mathbf{y}_1, \dots, \mathbf{y}_N = \mathbf{Y} \in \mathbb{R}^{N \times d_y}$  denote a set of  $N$  multi-view observations. Here  $\mathbf{X}$  refers to the set of sheet music (score) snippets and  $\mathbf{Y}$  to the corresponding set

of audio (spectrogram) snippets (compare Figure 1). Following [7], we define  $\mathbf{f}$  and  $\mathbf{g}$  to be non-linear feature mappings used for processing the raw input data. In our application we implement  $\mathbf{f}$  and  $\mathbf{g}$  as two different convolutional neural networks producing hidden feature representations  $\mathbf{f}(\mathbf{X}) \in \mathbb{R}^{N \times h}$  and  $\mathbf{g}(\mathbf{Y}) \in \mathbb{R}^{N \times h}$  for their corresponding input views. The parameters of the two models are referred to as  $\Theta_{\mathbf{f}}$  and  $\Theta_{\mathbf{g}}$ . As in [8, 1] the dimensionality  $h$  of the topmost hidden representations is defined to be the same for both views. We also denote  $\mathbf{f}(\mathbf{X})$  and  $\mathbf{g}(\mathbf{Y})$  by  $\mathbf{f}_X$  and  $\mathbf{g}_Y$  respectively for a briefer notation in the remainder of the paper.

Our audio to sheet image retrieval approach is based on (D)CCA, a method from classic multivariate statistics that relies on the covariance structures of the respective input (latent) feature distributions. Equation (1) introduces the covariance matrices for the learned feature representations of both views.

$$\Sigma_X = \frac{1}{N-1} \bar{\mathbf{f}}_X^T \bar{\mathbf{f}}_X \text{ and } \Sigma_Y = \frac{1}{N-1} \bar{\mathbf{g}}_Y^T \bar{\mathbf{g}}_Y \quad (1)$$

In addition to the individual covariance matrices, CCA requires the cross-covariance  $\Sigma_{XY}$  between the features of the two different views:

$$\Sigma_{XY} = \frac{1}{N-1} \bar{\mathbf{f}}_X^T \bar{\mathbf{g}}_Y \quad (2)$$

## 2.1 Deep Canonical Correlation Analysis (DCCA)

In [1], a deep neural network extension to classical CCA is introduced for combining the topmost feature representations of two different neural networks  $\mathbf{f}$  and  $\mathbf{g}$ . The DCCA optimization target pushes the networks to learn highly correlated feature representations. Based on the covariances introduced above CCA defines a matrix  $\mathbf{T} = \Sigma_X^{-1/2} \Sigma_{XY} \Sigma_Y^{-1/2}$ . The total correlation between  $\mathbf{f}_X$  and  $\mathbf{g}_Y$  is then computed as the sum over the singular values  $\mathbf{d}$  with corresponding singular value problem  $\mathbf{T} = \mathbf{U}\mathbf{D}\mathbf{V}$  and  $\mathbf{D} = \text{diag}(\mathbf{d})$ .  $\mathbf{U}$  and  $\mathbf{V}$  are the projection matrices which transform the two views into the linear CCA sub-space. The correlation itself is optimized by maximizing the sum over the singular values  $\mathbf{d}$  with respect to the network parameters  $\Theta_{\mathbf{f}}$  and  $\Theta_{\mathbf{g}}$ :

$$\arg \max_{\Theta_{\mathbf{f}}, \Theta_{\mathbf{g}}} \sum_{i=1}^h d_i \quad (3)$$

If  $\mathbf{f}$  and  $\mathbf{g}$  have the same feature dimensionality  $h$  it is also possible to optimize the canonical correlation by maximizing the matrix trace norm  $\|\mathbf{T}\|_{tr} = \text{tr}((\mathbf{T}^T \mathbf{T})^{1/2})$ . For a detailed derivation of the DCCA optimization target we refer to [1].

## 2.2 Deep Canonical Correlated Audio-Sheet-Music Retrieval

The proposed audio-sheet-music cross-modality retrieval model is built on top of two paths of convolutional neural networks. Both networks operate directly on the respective input modality and reduce its dimensionality to an  $h$ -dimensional latent representation. Figure 2 shows a schematic sketch of the entire retrieval pipeline. Once the network and the corresponding CCA model are trained, the input data is projected by  $\mathbf{f}'_X = \mathbf{f}_X \mathbf{U}$  and  $\mathbf{g}'_Y = \mathbf{g}_Y \mathbf{V}^T$  into the CCA space (with normalized projection matrices  $\mathbf{U} \leftarrow \Sigma_X^{-1/2} \mathbf{U}$  and  $\mathbf{V} \leftarrow \Sigma_Y^{-1/2} \mathbf{V}$ ).  $\mathbf{f}'_X$  is the projection of a sheet image snippet  $\mathbf{x}$  and  $\mathbf{g}'_Y$  is the projection of the corresponding audio snippet  $\mathbf{y}$ . A beneficial property of the CCA projection space is that if a set of pairs exhibits high correlation then the individual pairs also have a low cosine distance [8]. One can exploit this property for retrieval by cosine distance computation

$$d_{cos} = 1.0 - \frac{\mathbf{f}'_X \cdot \mathbf{g}'_Y}{\|\mathbf{f}'_X\| \|\mathbf{g}'_Y\|} \quad (4)$$

e.g. of a query audio vector  $\mathbf{g}'_Y$  to a database of reference image vectors  $\{\mathbf{f}'_X\}_M$  where  $M$  is the number of available candidate sheet image snippets. The result is a ranking of sheet image snippets and allows for a selection of the snippet with highest cross-modality similarity (e.g. lowest cosine distance). The database of image snippets is thereby created and processed by the image network  $\mathbf{f}$  prior to retrieval time. This further means that we know for each (indexed) sheet image snippet (1) the originating piece as well as the (2) respective sheet image position. The procedure described above works analogously in the opposite direction for retrieving audio from given query sheet images.

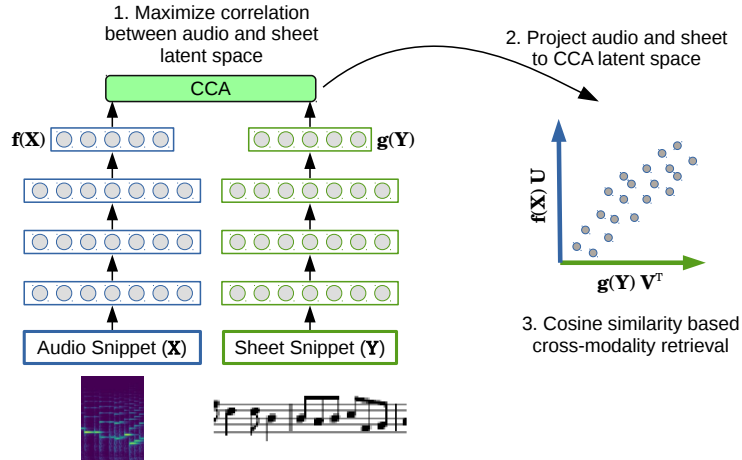


Figure 2: Overview of the audio-to-sheet cross-modality retrieval framework.

### 3 Experiments

We run our experiments on the same dataset that was used by [3] for evaluating their end-to-end score following system in sheet music images. We further describe our network architectures as well as the optimization strategies and introduce the quantitative measures used for evaluation.

#### 3.1 Data and Experimental Setup

As in [3] we consider the Nottingham piano midi dataset for our experiments. The dataset is a collection of midi files split into train, validation and test set. In terms of data preparation we follow [3] and (1) render the midi files to sheet music images using Lilypond<sup>1</sup>, (2) synthesize the midi files to audio and (3) establish correspondences between short snippets of sheet music and their corresponding excerpt of audio. For audio preparation the only pre-processing step is computing log-spectrograms with a sample rate of 22.05kHz, a FFT window size of 2048, and a computation rate of 31.25 frames per second. These spectrograms (136 frequency bins) are then directly fed into the audio part of our cross-modality network. Figure 1 shows a set of audio-to-sheet correspondences presented to our network for training. One audio excerpt comprises 100 frames and the dimension of the sheet image snippet is  $40 \times 100$  pixel.

The parameters of our model are optimized using stochastic gradient descent with momentum using a batch size of 100, an initial learning rate of 0.1 and a fixed momentum of 0.9. The learning rate is halved every 25 epochs during training. Table 1 provides details on our retrieval architecture. Our model is basically a VGG style [6] network consisting of sequences of  $3 \times 3$  convolution stacks followed by  $2 \times 2$  max pooling. As activations we use Exponential Linear Units (ELUs) [2] for all layers except for the final layer before DCCA where no non-linearity is used at all. For reducing the dimensionality to the desired correlation space dimensionality  $h$  (in our case 32) we insert as a final building block a  $1 \times 1$  convolution having  $h$  feature maps followed by global-average-pooling [5]. The output ( $f_X$  and  $g_Y$ ) of these layers is then fed into the DCCA optimization target.

#### 3.2 Experimental Results

In the following we provide first quantitative results of our approach. In terms of evaluation measures we follow the literature [8] and report the median rank ( $MR$ ) as well as the  $R@k$  rates for both sheet-to-audio as well as audio-to-sheet retrieval. The  $R@k$  rate (high is better) is the fraction of queries which have the correct corresponding snippet in the first  $k$  retrieval results. The  $MR$  is the

<sup>1</sup><http://www.lilypond.org>

Sheet-Image $40 \times 100$	Spectrogram $136 \times 100$
$2 \times \text{Conv}(3, \text{pad-1})\text{-}16\text{-BN-ELU} + \text{MP}(2)$	$2 \times \text{Conv}(3, \text{pad-1})\text{-}16\text{-BN-ELU} + \text{MP}(2)$
$2 \times \text{Conv}(3, \text{pad-1})\text{-}32\text{-BN-ELU} + \text{MP}(2)$	$2 \times \text{Conv}(3, \text{pad-1})\text{-}32\text{-BN-ELU} + \text{MP}(2)$
$2 \times \text{Conv}(3, \text{pad-1})\text{-}64\text{-BN-ELU} + \text{MP}(2)$	$2 \times \text{Conv}(3, \text{pad-1})\text{-}64\text{-BN-ELU} + \text{MP}(2)$
$2 \times \text{Conv}(3, \text{pad-1})\text{-}64\text{-BN-ELU} + \text{MP}(2)$	$2 \times \text{Conv}(3, \text{pad-1})\text{-}64\text{-BN-ELU} + \text{MP}(2)$
Conv(1, pad-0)-32-BN-LINEAR	Conv(1, pad-0)-32-BN-LINEAR
GlobalAveragePooling	GlobalAveragePooling

DCCA Optimization Target

Table 1: Architecture of audio-sheet-music retrieval model: BN: Batch Normalization, ELU: Exponential Linear Unit, MP: Max Pooling, Conv(3, pad-1)-16:  $3 \times 3$  convolution, 16 feature maps and padding 1

Table 2: Cross-modality retrieval results on Nottingham dataset

set	Audio-to-Sheet				Sheet-to-Audio			
	R@1	R@5	R@10	MR	R@1	R@5	R@10	MR
train (16000)	82.7	97.5	98.6	1	81.0	97.2	98.7	1
valid (16000)	42.0	86.6	93.1	2	44.2	85.2	92.5	2
test (16000)	43.1	89.1	94.2	2	45.5	87.8	93.7	2

median position (low is better) of the target in a similarity ordered list of all available snippets in the database. Table 2 provides a summary of our results. For validation and train set we only consider the first 16000 examples to allow for a direct comparison of the  $R@k$  rates with the test set (to investigate overfitting). When given an audio snippet from the test set the median rank MR of the corresponding image snippet is 2 (out of 16000 possible candidates). The R@10 rate for audio-to-sheet retrieval is 94.2 %. This means in particular that for more than 94 % of the query audio excerpts the correct sheet image snippet is in the top 10 results of the similarity list comprising 16000 candidates. We would like to emphasize that these results are on a completely unseen test set.

Figure 3 shows an example of an audio-to-sheet query along with its top 9 retrieval results. The



Figure 3: Example of an audio excerpt query and its retrieval results. The number above the spectrogram is the position of the correct result. The numbers above the sheet snippets are the ranks of the respective sheet image snippets in the similarity ordered candidate list.

correct sheet snippet is ranked at position 1 for the present case. However, a closer look at the retrieved images reveals that 4 of the 9 results (0, 1, 2, 3) are actually only slightly shifted versions of the ground truth result at position 1 and can be therefore also considered as correctly retrieved snippets. This also explains the large gap between the R@1 and R@5 rates reported above.

## 4 Conclusion

In this work we presented a method for retrieving snippets of sheet music images when an audio excerpt is given as a search query, and vice versa. Our solution is based on DCCA that is simultaneously trained on images and audio in end-to-end neural network fashion. Once the model is trained it can be used for cross-modality search when one of the modalities is provided as a retrieval query. First results suggest that this is a promising research direction especially in the context of content-based musical retrieval scenarios.

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